

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jeffrey C. Hawkins *et al.*  
APPLICATION NO.: 09/997,870  
FILING DATE: October 14, 2001  
TITLE: Interface For Processing Of An Alternate Symbol In A Computer Device  
EXAMINER: Alexander Eisen  
GROUP ART UNIT: 2674  
ATTY. DKT. NO.: 21495-05943

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 4/16/04

By: Eileen A. Lehmann  
Eileen A. Lehmann, Reg. No.: 39,272

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

## PATENT

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☒ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
- ☒ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

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foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. APPLICATION NO., filed on DATE FILED, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☐ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
JEFFREY C. HAWKINS *ET AL.*

Dated: 4/16/04

By: Eileen A. Lehmann  
Eileen A. Lehmann, Reg. No.: 39,272  
Fenwick & West LLP  
Silicon Valley Center  
801 California Street  
Mountain View, CA 94041  
Tel.: (650) 335-7246  
Fax.: (650) 938-5200

0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Application Number	09/977,870		
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>				Filing Date	October 14, 2001		
				First Named Inventor	Jeffrey C. Hawkins		
				Group Art Unit Number	2674		
				Examiner Name	Alexander Eisen		
Total Number of Pages in This Submission		8*	Attorney Docket Number		21495-05943		

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO/SB/08A <input checked="" type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
REMARKS: * No. of pages does not include pages of cited references	

SIGNATURE OF ATTORNEY OR AGENT		
Signature:	<i>Eileen A. Lehmann</i>	
Attorney/Reg. No.:	Eileen A. Lehmann, Reg. No. 39,272	Dated: 4/16/04

CERTIFICATE OF MAILING		
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Signature:	<i>Eileen A. Lehmann</i>	
Typed or Printed Name:	Eileen A. Lehmann	Dated: 4/16/04
Express Mail Mailing Number (optional):		

**FENWICK & WEST LLP**

Silicon Valley Center • 801 California Street • Mountain View, CA 94041  
Tel 650.988.8500 • Fax 650.938.5200 • www.fenwick.com

**FACSIMILE TRANSMISSION****CONFIDENTIAL****DATE:** January 7, 2005**CLIENT-MATTER NO.:** 24264-5943**TO:**

NAME	FAX NO.	PHONE NO.
Alex Eisen, Examiner Group Art 2674 U.S. Patent & Trademark Office	(703) 746-5737	

**FROM:** Laura A. Majerus**PHONE:** (650) 335-7152

ER 1 56

**NUMBER OF PAGES WITH COVER PAGE:** 12**ORIGINAL WILL NOT FOLLOW****MESSAGE:**

Pursuant to our phone call on January 6, 2005, attached is an Information Disclosure Statement that was previously filed on April 16, 2004. Please indicate your consideration of the following Information Disclosure Statements:

1. April 16, 2004 (attached with stamped postcard)
2. September 20, 2004 (you have this one)

Thank you for your help.

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24264/05943/DOCS/1494967.1

FENWICK & WEST LLP  
 VENDOR: DIRECTOR OF U.S. PATENT AND TRADEMARK OFFICE  
 801 California Street  
 Mountain View, CA 94041

CHECK NO. 3749044  
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INVOICE NO.	INVOICE DATE	DESCRIPTION	ACCOUNT NO.	FILE NO.	AMOUNT
7619	04-15-2004	21495.05943	019900233000000000		180.00
TOTAL AMOUNT					180.00

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**FENWICK & WEST LLP**

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 801 California Street  
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 Fax No.: 650-938-5200

Bank of America  
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DATE 04-14-2004  
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*Colleen H. H. H. H.*

⑈3749044⑈ ⑆071923284⑆ 87651⑈50379⑈

Date Mailed: April 16, 2004 Atty/Sec: EAL/kim Filing Date: 10/14/2001

Application No.: 09/977,870

Docket No.: 21495-05943

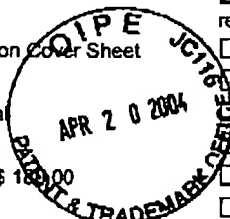
Applicant(s): Jeffrey C. Hawkins *et al.*

Title: INTERFACE FOR PROCESSING AN ALTERNATE SYMBOL IN A COMPUTER DEVICE

Please Imprint Patent Office "date stamp" hereon to indicate receipt and return card to addressee.

☐ \_\_\_ pages of Specification, Claims & Abstract☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).☐ \_\_\_ sheets of formal drawings☐ Provisional Application Cover Sheet☐ Response to Notice Of Missing Parts☐ New Utility Application Transmittal☐ Request to Correct Filing Receipt☒ Transmittal☐ Request for Correction of Recorded Assignment☒ Fee Transmittal (in duplicate)☒ Supplemental IDS, PTO-1449, and cited references☐ Declaration☐ Assignment & Recordation Cover Sheet☐ Issue Fee Transmittal☐ Power of Attorney☐ Letter to Chief Draftsperson☐ CPA Request Transmittal☒ Formal Drawings: \_\_\_ sheets☐ Application Data Sheet☐ Request for Certificate of Correction☒ Check in the amount of \$ 180.00☐ Notice of Appeal☐ Amendment/Response☐ Express Mail No.

Other \_\_\_\_\_



**FEE TRANSMITTAL  
for FY 2004**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 180.00**Complete If Known**

Application Number	09/977.870
Filing Date	October 14, 2001
First Named Inventor	Jeffrey C. Hawkins
Examiner Name	Alexander Eisen
Art Unit	2674
Attorney Docket No.	21495-05943

**METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick &amp; West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	180	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims	Multiple Dependent	-20% =	-3% =	X	X	=	=

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$)</b>

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3)** (\$ 180.00)**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Eileen A. Lehmann	Registration No. (Attorney/Agent)	39,272	Telephone (650) 335-7246
Signature	Eileen A. Lehmann			Date 4/16/04



COPY

# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

## Complete if Known

Application Number	09/877,870
Filing Date	October 14, 2001
First Named Inventor	Jeffrey C. Hawkins
Examiner Name	Alexander Eisen
Art Unit	2674
Attorney Docket No.	21495-05943

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
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The Commissioner is authorized to: (check all that apply)

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Independent Claims		-20** =		X		=	
Multiple Dependent		-3** =		X		=	

Large Entity		Small Entity		Fee Description	Fee Paid
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SUBTOTAL (2) (\$)

\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
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1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$180.00)

\*Reduced by Basic Filing Fee Paid.

## SUBMITTED BY

Name (Print/Type)	Eileen A. Lehmann	Registration No. (Attorney/Agent)	39,272	Complete (if applicable)	Telephone (650) 335-7246
Signature	Eileen A. Lehmann	Date	4/16/04		

JAN-07-05

02:00PM

FROM-Fanwick &amp; West Mountain View

650 938 5200

T-826 P.009/012 F-377

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0851-0031  
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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	09/997,870
				Filing Date	October 14, 2001
				First Named Inventor	Jeffrey C. Hawkins
				Art Unit	2674
				Examiner Name	Alexander Eisen
Sheet	1	of	3	Attorney Docket Number	21495-05943

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
BZ	A1	US-6,295,372B1	09-25-2001	Hawkins et al.
	A2	US-6,058,304	05-02-2000	Callaghan et al.
	A3	US-5,465,401	11-07-1995	Thompson
	A4	US-5,392,447	02-21-1995	Schlack et al.
	A5	US-5,379,057	01-03-1995	Clough et al.
	A6	US-5,335,276	08-02-1994	Thompson et al.
	A7	US-5,334,824	08-02-1994	Martinez
	A8	US-5,227,614	07-13-1993	Danielson et al.
	A9	US-5,101,439	03-31-1992	Kiang
	A10	US-5,067,164	11-19-1991	Denker et al.
	A11	US-4,916,441	04-10-1990	Gombrich
	A12	US-4,725,694	02-16-1988	Auer et al.
	A13	US-4,587,630	05-06-1986	Straton et al.
	A14	US-4,415,065	11-15-1983	Sandstedt
	A15	US-4,279,021	07-14-1981	See et al.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> – Number <sup>3</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>4</sup>
BE	B1	EP 0149762 A1	07-31-1986	International Standard Electric Corporation	

Examiner Signature	BZ	Date Considered	1/11/05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

21495/05943/DOCS/1428227.1

PTO/SB/08A (10-01)


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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Complete if Known</b>			
		Application No.	09/997,870		
		Filing Date	October 14, 2001		
		First Named Inventor	Jeffrey C. Hawkins		
		Art Unit	2674		
		Examiner Name	Alexander Eisen		
Sheet	2	of	3	Attorney Docket Number	21495-05943

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
AE	C1	American Programmer, N.Y., American Programmer, Inc. (December 1991), pp. 4-33.	
	C2	At Last, Technology Harnesses [sic] One of the Most Powerful [sic] Forces known to Man., Foster City, GO Corporation, 1991, 14 pages.	
	C3	AT&T New Release, NCR Cuts Price of 3170 Notebook Computer 11 to 19 Percent [online]. (March 8, 1993), Retrieved from the Internet: <URL:http://www.att.com/press/0393/930308.nca.html> 2 pages.	
	C4	Carr, R.M., The Point of the Pen, Byte (February 1991, Reprinted), 10 pages.	
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	09/997,870
				Filing Date	October 14, 2001
				First Named Inventor	Jeffrey C. Hawkins
				Art Unit	2674
				Examiner Name	Alexander Eisen
Sheet	3	of	3	Attorney Docket Number	21495-05943

OTHER REFERENCES -- NON-PATENT LITERATURE DOCUMENTS				
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		<URL: <a href="http://www.pencomputing.com/Newton/NewtonNotes1.html">http://www.pencomputing.com/Newton/NewtonNotes1.html</a> > 2 pages.		
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				Application No.	09/977,870
				Filing Date	October 14, 2001
				First Named Inventor	Jeffrey C. Hawkins
				Art Unit	2674
				Examiner Name	Alexander Eisen
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				First Named Inventor	Jeffrey C. Hawkins	
				Art Unit	2674	
Examiner Name	Alexander Eisen					
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				Filing Date	October 14, 2001
				First Named Inventor	Jeffrey C. Hawkins
				Art Unit	2674
				Examiner Name	Alexander Eisen
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		First Named Inventor	Jeffrey C. Hawkins
		Art Unit	2674
		Examiner Name	Alexander Eisen
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		Attorney Docket Number	21495-05943

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